

...Decisions... Decisions...

These notes indicate the decisions taken at this meeting and the officers responsible for taking the agreed action. For background documentation please refer to the agenda and supporting papers available on the Council's web site (www.oxfordshire.gov.uk.)

If you have a query please contact Colm Ó Caomhánaigh, Tel 07393 001096; E-mail: colm.ocaomhanaigh@oxfordshire.gov.uk

AUDIT & GOVERNANCE COMMITTEE - WEDNESDAY, 11 SEPTEMBER 2019

<i>RECOMMENDATIONS FROM THE AGENDA</i>	<i>DECISIONS</i>	<i>ACTION</i>
1. Apologies for Absence and Temporary Appointments	None	DLG (A Newman)
2. Declaration of Interests - see guidance note	None	
3. Minutes To approve the minutes of the meeting held on 17 July 2019 and to receive information arising from them.	The minutes of the meeting of 17 July 2019 were approved and signed.	DLG (C Ó Caomhánaigh)
4. Petitions and Public Address	None	
5. Surveillance Commissioner's Inspection and Regulation of Investigatory Powers Act Report by the Monitoring Officer. The Regulation of Investigatory Powers Act 2000 regulates the use of covert activities by Local Authorities. It creates the statutory framework by which covert surveillance activities may be lawfully undertaken. Special authorisation arrangements need to be put in place whenever a Local Authority considers commencing covert surveillance or considers obtaining information by the use of informants or officers acting in an undercover capacity.		

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<p>Codes of Practice under the Act require that elected members review the Authority's use of activities within the scope of the Act periodically and review the Authority's policy annually. This paper provides a summary of the activities undertaken by Oxfordshire County Council that fall within the scope of this Act for the period from April 2018 to August 2019.</p> <p>The Committee is RECOMMENDED to:</p> <p>a) Consider and note the use of activities within the scope of the Regulation of Investigatory Powers Act by the Council, and</p> <p>b) Note the Policy document at Annex 1 and to comment on any changes to the Policy for Compliance with the Regulation of Investigatory Powers Act 2000 that the committee would wish the Monitoring Officer to consider.</p>	<p>Recommendations agreed.</p>	<p>DLG (R Webb)</p>
<p>6. Draft Risk and Opportunities Management Strategy 2019-21</p> <p>Report by the Interim Assistant Chief Executive</p> <p>This report presents the draft Risk and Opportunities Management Strategy for 2019-21 which is due for consideration by Cabinet in October. If adopted, the Strategy will be the foundation for improvement of the Council's risk management framework.</p> <p>The Committee is RECOMMENDED to comment on the draft Risk and Opportunities Management Strategy, to inform any necessary revisions before Cabinet's consideration on 15 October.</p>	<p>Recommendation agreed.</p>	<p>ACE (R Rogers)</p>

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<p>7. External Auditors</p> <p>A representative from the external auditors Ernst & Young will attend to present the following item:</p> <ul style="list-style-type: none"> • Annual Audit Letter 	<p>The report was noted.</p>	<p>E&Y (J Dawson)</p>
<p>8. Information Governance</p> <p>Report from the Director for Law and Governance.</p> <p>This report will highlight the work of the Information Management team to give assurance on how these issues are handled within the Council.</p> <p>The Committee is RECOMMENDED to note the report.</p>	<p>Recommendation agreed.</p>	<p>DLG (C Parker)</p>
<p>9. Local Government Ombudsman - Annual Review Report</p> <p>Report by the Monitoring Officer.</p> <p>Each year, the Local Government and Social Care Ombudsman (LGO) issues an Annual Review Report about each council in relation to the complaints made to the Ombudsman about that Council in the previous financial year. My report to this Committee therefore informs members about the LGO's Annual Review Report for Oxfordshire County Council for the year 2018/19.</p> <p>The Committee is RECOMMENDED to note and comment on this report and on the Local Government Ombudsman's Annual Review of Oxfordshire County Council for 2018/19.</p>	<p>Recommendation agreed.</p>	<p>DLG (G Watson)</p>
<p>10. Monitoring Officer Annual Report</p> <p>Report by the Director of Law & Governance and Monitoring Officer.</p>		

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<p>The Audit and Governance Committee is responsible for promoting standards of conduct for elected councillors and co-opted members and for ensuring the integrity of the democratic decision-making process. Consequently, the Monitoring Officer reports annually to this Committee on relevant actions and issues that have occurred in the previous year. This report therefore summarises activities for the year 2018/19.</p> <p>The Committee is RECOMMENDED to consider and endorse the report.</p>	<p>Recommendation agreed.</p>	<p>DLG (G Watson)</p>
<p>11. Internal Audit Plan - Progress Report 2019/20</p> <p>Report by the Director of Finance.</p> <p>This report presents the Internal Audit progress report for 2019/20.</p> <p>The Committee is RECOMMENDED to note the progress with the 2019/20 Internal Audit Plan and the outcome of the completed audits.</p>	<p>Recommendation agreed.</p>	<p>DoF (S Cox)</p>
<p>12. Audit Working Group Report</p> <p>Report by the Director for Finance.</p> <p>This report presents the matters considered by the Audit Working Group Meeting of 4 September 2019.</p> <p>The Committee is RECOMMENDED to note the report.</p>	<p>Recommendation agreed.</p>	<p>DoF (S Cox)</p>
<p>13. Work Programme</p> <p>To review the Committee's work programme and meeting dates.</p>	<p>The change of meeting date and the work programme were agreed.</p>	<p>DLG (C Ó Caomhánaigh)</p>
<p>14. Transformation Sub-Committee</p>		

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<i>RECOMMENDATIONS FROM THE AGENDA</i>	<i>DECISIONS</i>	<i>ACTION</i>
For information only – Draft Minutes from the Transformation Sub-Committee meeting held on 25 July 2019.		